



07-11-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DAC \$

Applicant: Mathew, et al. Examiner: Hallman  
Serial No.: 09/475,365 Group Art Unit: Unknown  
Filed: December 30, 1999 Docket: 40170.3US01  
Title: LLT USES THEREOF IN IMMUNE SYSTEM MODULATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719665514US

Date of Deposit: July 9, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By:   
Marcie Hanson

DAC, OFFICE OF PETITIONS  
Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JUL 12 2001

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

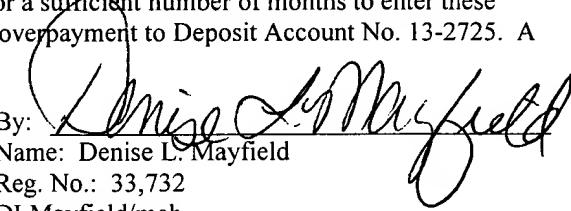
Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Petition for Revival of Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(b)
- Copy of Notice to File Missing Parts
- Signed Declaration
- Signed Power of Attorney
- Small entity status is claimed pursuant to 37 C.F.R. 1.27
- Check(s) in the amount of \$685 for Petition for Revival Fee (\$620), Response to Missing Parts Notice (\$65)
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303.357.1660

By:   
Name: Denise L. Mayfield  
Reg. No.: 33,732  
DLMayfield/meh





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mathew, et al. Examiner: Hallman  
Serial No.: 09/475,365  
Filed: December 30, 1999 Docket: 40170.3US01  
Title: LLT USES THEREOF IN IMMUNE SYSTEM MODULATION

CERTIFICATE OF FACSIMILE TRANSMISSION: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein, are being sent by facsimile transmission to 1-703-305-9863 addressed to: Commissioner for Patents, Attention Examiner Hallman, Washington, D.C. 20231, on June 4, 2001.

By:   
Marcie Hanson

Commissioner for Patents  
Washington, D.C. 20231

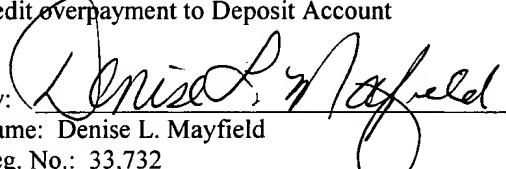
Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Small entity status is claimed pursuant to 37 C.F.R. 1.27
- Signed Declaration
- Signed Power of Attorney

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303.357.1660

By:   
Name: Denise L. Mayfield  
Reg. No.: 33,732  
D. Mayfield/meh



O P R  
JUL 09 2001  
FBI

# Merchant & Gould

An Intellectual Property Law Firm

1400 Independence Plaza  
1050 Seventeenth Street  
Denver, Colorado  
80265-0100 USA  
TEL 303.357.1670  
FAX 303.357.1671  
[www.merchant-gould.com](http://www.merchant-gould.com)

Fax Transmission | June 4, 2001

TO: Assistant Commissioner for  
Patents  
Attn: Examiner Hallman  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Denise L. Mayfield  
OUR REF: 40170.3US01  
TELEPHONE: 303.357.1660

Total pages, including cover letter:

PTO FAX NUMBER 1-703-305-9863

If you do NOT receive all of the pages, please telephone us at 303.357.1660, or fax us at 303.357.1671.

Title of Document Transmitted:

Formal Documents

Applicant: Mathew, et al.

Serial No.: 09/475,365

Filed: December 30, 1999

Our Ref. No.: 40170.3US01

**MESSAGE: Dear Examiner Hallman:**

I am the attorney of record in the captioned case. I have not received a Notice to File Missing Parts in the captioned patent application. For some time we have been attempting to obtain copies of the file wrapper but have been unable to do so because the application has been in the Receiving Office. I received your voice mail message that a Notice to File Mission Parts in fact issued in the application. I am filing herewith the formal documents in the case to you directly. Please give me a call should you have any questions. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Denise L. Mayfield  
Name: Denise L. Mayfield  
Reg. No.: 33,732

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Marcie Hanson  
Marcie Hanson

June 4, 2001

Date

**Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

O I P E  
JUL 09 2001  
CT 16

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

small entity (statement filed)  non-small entity is \$       .

1. The statutory basic filing fee is:

- missing.  
 insufficient.

*Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

07/12/2001 GTEFFERA 00000123 09475365

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

01 FC:205

65.00 OP

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:

- is missing or unsigned.  
 does not cover the newly submitted items.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

*Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).*

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

MEMORY TRANSMISSION REPORT

TIME : JUN-04-01 03:12PM  
TEL NUMBER1: 3033571671  
TEL NUMBER2: 3033571671  
NAME : MERCHANT & GOULD

FILE NUMBER : 530  
DATE : JUN-04 03:06PM  
TO : 17033059863  
DOCUMENT PAGES : 16  
START TIME : JUN-04 03:06PM  
END TIME : JUN-04 03:12PM  
SENT PAGES : 16

FILE NUMBER : 530                    \*\*\* SUCCESSFUL TX NOTICE \*\*\*

**Merchant & Gould**  
An Intellectual Property Law Firm

1400 Independence Plaza  
1050 Seventeenth Street  
Denver, Colorado  
80265-0100 USA  
TEL 303 357 1670  
FAX 303.357.1671  
[www.merchant-gould.com](http://www.merchant-gould.com)

Fax Transmission | June 4, 2001

TO: Assistant Commissioner for  
Patents  
Attn: Examiner Hallman  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Denise L. Mayfield

OUR REF: 40170.3US01  
TELEPHONE: 303.357.1660

Total pages, including cover letter:

PTO FAX NUMBER 1-703-305-9863

If you do NOT receive all of the pages, please telephone us at 303.357.1660, or fax us at 303.357.1671.

Title of Document Transmitted:

Applicant: Mathew, et al.  
Serial No.: 09/475,365  
Filed: December 30, 1999  
Our Ref. No.: 40170.3US01

Formal Documents

MESSAGE: Dear Examiner Hallman:

I am the attorney of record in the captioned case. I have not received a Notice to File Missing Parts in the captioned patent application. For some time we have been attempting to obtain copies of the file wrapper but have been unable to do so because the application has been in the Receiving Office. I received your voice mail message that a Notice to File Mission Parts in fact issued in the application. I am filing herewith the formal documents in the case to you directly. Please give me a call should you have any questions. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Denise L. Mayfield  
Name: Denise L. Mayfield  
Reg. No.: 33,732

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Marcie Hanson                    June 4, 2001  
Marcie Hanson                        Date